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Assistant Commissioner for Patents,

Washington, D.C. 20231 on February 14, 2001

INFORMATION DISCLOSURE STATEMENT Patent Application Docket No. GJE-21D2 Serial No. 09/760,274

David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

not yet assigned

Applicant(s)

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Doubell

Serial No.

09/760,274

Filed

January 12, 2001

For

Neural Transplantation Using Pluripotent Neuroepithelial Cells

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.97 and §1.98(d), the applicants would like to bring to the attention of the Examiner, the references cited in the following patent applications:

U.S. Serial No. 09/043,061; filed March 12, 1998; and

U.S. Serial No. 09/672,606; filed September 28, 2000.

The subject application, Serial No. 09/760,274, claims the benefit under 35 USC §120 of the filing dates of U.S. Serial No. 09/043,061 and U.S. Serial No. 09/672,606. The applicants respectfully request that the references cited during prosecution thereof be made of record in the 09/760,274 application. As copies of the references filed in the parent applications, and cited on the attached form PTO-1449, will be found in the parent casefiles, copies of those references are not provided herewith.

It is respectfully requested that the references cited in the above-noted parent applications be considered in the examination of the subject application and their consideration be made of record.

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The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

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Enclosure: Form PTO-1449

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APPLICANTS: Sinden et al.

SUBMISSION TO PTO: Information Disclosure Statement Under

37 CFR 1.97 and 1.98; Norm PTO-1449.

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